

Memberships of Deka Group in the context of Sustainable Development (ESG)*

Mandatory regulations

 DIN ISO 14001	 Member since 2009	 Globally accepted and applied standard for environmental management systems. In 2025, the transition to EMAS (Eco-Management and Audit Scheme) will take place.
 UN Global Compact	 Member since 2011	 Compliance with minimum social and ecological standards, which are summarized in ten principles. The progress on compliance with the principles is reported annually (Communication on Progress, COP). When the Bank joins, the participants of the subsidiaries also become members.
 Women's Empowerment Principles	 Signatory since 2017	 Seven principles drawn up by the joint initiative of UN Women and the UN Global Compact to promote and strengthen the rights of women in companies.
 UN Principles for Responsible Investment (PRI)	 Signatory by Deka Investment GmbH; DekaBank; Deka Immobilien Investment GmbH; since 2012, 2020, 2021	 Initiative of an international investor network that has developed six principles for responsible investment with the aim of integrating ESG issues into investment decision-making processes.

* If individual subsidiaries are affected, they are each mentioned. In other cases, it concerns DekaBank and its subsidiaries (Deka Group).
Source: DekaBank Sustainability Management | Status: March 13, 2025

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Voluntary regulations (1/2)

 <p><u>Institute for Corporate Governance</u></p>	 <p>Deka Immobilien Investment GmbH Member since 2005</p>	 <p>Voluntary set of rules by banks for compliance with environmental and social standards in the area of project financing.</p>
 <p><u>Carbon Disclosure Project</u></p>	 <p>DekaBank Signatory since 2010</p>	 <p>Non-profit organization that discloses all positive and negative impacts of companies on the environment, such as greenhouse gas emissions and water consumption.</p>
 <p><u>Equator Principles</u></p>	 <p>DekaBank Member since 2011</p>	 <p>Voluntary set of rules by banks for compliance with environmental and social standards in the area of project financing.</p>
 <p><u>The German Sustainability Code</u></p>	 <p>Signatory since 2013</p>	 <p>Voluntary declaration of commitment by commercial enterprises to sustainable corporate governance, consisting of 20 criteria. Signatories undertake to submit a declaration of compliance with the DNK criteria once a year.</p>
 <p><u>Farm Animal Investment Risk & Return (FAIRR)</u></p>	 <p>Deka Investment GmbH Member since 2018</p>	 <p>Collection of data on the sustainability standards of companies operating in factory farming and industrial agriculture</p>
 <p><u>SFG commitment to a climate-friendly Sustainable Management</u></p>	 <p>DekaBank Member since 2020</p>	 <p>By signing, commitment to the objective of making business operations CO₂-neutral by 2035 (first signatories: 172/376 savings banks; eight affiliated companies from the savings bank group; DekaBank first of the affiliated companies).</p>

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Voluntary regulations (2/2)



An international group of asset managers committed to supporting the goal of net-zero greenhouse gas emissions by 2050, or earlier. This is in line with global efforts to limit global warming to 1.5 °Celsius.



An initiative that aims to promote the recognition, appreciation and inclusion of diversity in the world of work in Germany. Organizations should create a working environment that is free of prejudice.



The PCAF is the gold standard for measuring and reporting financed CO₂ emissions issues, on product level. The goal: a harmonized system in international finance for improved data quality and comparability in the credit and investment context. PCAF is of great strategic importance due to its global network (500 institutions, 74 countries).



Dataland: “ESG data as a common good.” This is an idea of Dataland as an open source platform by providing access to an open and transparent ESG data ecosystem. The benefit is to fill data gaps from the existing rating agencies (MSCI, ISS ESG, Sustainalytics and Moody's). Non-accessible data can be validated and updated through membership.

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Paid memberships (1/2)

 International Capital Market Association (ICMA)	 DekaBank Member since 1969	 International industry association for capital market participants that focuses on regulatory issues and market practice.
 German Investment Funds Association (BVI)	 Deka Investment; Deka Immo GmbH; WestInvest; DVM Members since 1970; 1971; 1989; 2018	 BVI (Bundesverband Investment und Asset Management e.V.) lobbies politicians, supervisory authorities and international institutions for sensible regulation of the fund business and for fair competitive conditions.
 German Property Federation (ZIA)	 Deka Immobilien Investment GmbH Member since 2006	 The ZIA (Zentraler Immobilien Ausschuss e.V.) represents the regulatory and economic policy interests of the entire real estate industry. It promotes and supports suitable measures to maintain and improve the economic, legal, fiscal and political environment of the Real Estate industry.
 Association for Environmental Management and Sustainability in Financial Institutions (VfU)	 DekaBank Member since 2007	 Network for sustainability professionals from financial companies that pursue responsible business practices, consisting of 49 member institutions.
 Environmental Forum Rhine-Main e.V.	 Deka Group Member since 2009	 Forum, which serves as an exchange platform and coordination point for environmental projects in order to promote environmental protection and environmentally friendly development.
 Corporate Responsibility Interface Center e.V. (CRIC)	 Deka-Gruppe Member since 2010	 Investor community for the promotion of ethics and sustainability in investment through awareness-raising, dialog with business and scientific research.
 Genderdax	 Member since 2013	 Genderdax has existed since 2005 to give a voice to the issue of 'equal opportunities for highly qualified women in specialist and management positions in organisations'. At that time, there were few women in management positions. The aim is to raise awareness of gender & diversity topics among decision-makers.

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Paid memberships (2/2)

 <u>Contract for Difference Association (CFD)</u>	 S Broker Member since 2015	 The CFD Association is the central interest group for financial service providers specializing in contracts for difference (CFD) in Germany
 <u>Federal Association for Structured Securities (BSW)</u>	 DekaBank since 2015; S Broker since 2016	 The BSW (Bundesverband für strukturierte Wertpapiere, formerly DDV) represents the leading issuers of derivative securities in Germany and aims to improve the political and regulatory framework for structured products in Germany and Europe. The BSW's objectives also include improving the comprehensibility and transparency of products and protecting investors.
 <u>Green Bond Principles</u>	 DekaBank Members since 2016	 Green and Social Bond Principles: Authoritative guidance for the issuing process of “green and social securities”. DekaBank is an underwriter, i.e. it accompanies issues of bonds.
 <u>Fondsfrauen</u>	 Member since 2018	 Fondsfrauen is a career network for the promotion and equality of women in the German-speaking investment fund industry. The network wants to connect women across hierarchical levels in order to facilitate a regular exchange and support them in achieving their personal and professional goals. It is the leading network for women in the finance industry.
 <u>Climate Bonds Initiative (CBI)</u>	 DekaBank Member since 2019	 Non-profit organization pursuing the goal of introducing a large and liquid market for green and climate bonds.
 <u>Poseidon-Principles</u>	 Member since 2022	 An international framework by and for financial institutions to finance sustainable assets in the shipping segment in order to contribute to a reduction in CO ₂ emissions in shipping of at least 50 percent by 2050. Membership is linked to an obligation to publish an annual Climate Alignment Score.
 <u>Initiative to Measure and Promote Aviation's Carbon-free Transition e.V. (IMPACT)</u>	 Member since 2022	 It is an initiative of leading banks, leasing companies and consulting firms active in the aircraft financing segment with the aim of promoting climate protection in the field of civil aviation.

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Sponsoring

.Deka



Green and Sustainable
Finance Cluster Germany
(GSFCG)



Deka-Gruppe
Silver-Sponsor



The aim of the cluster is to position itself as a competence and dialog platform for the financial sector to achieve the German climate and sustainability goals.

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